



# MINE HILL TOWNSHIP

Planning Board ~ 10 Baker Street ~ Mine Hill, New Jersey 07803

Tel: 862-437-1190

Fax: 973-366-1626

## INSTRUCTIONS AND SUGGESTIONS TO APPLICANTS MINE HILL TOWNSHIP PLANNING BOARD

### SUBMITTING YOUR APPLICATION

- Read all instructions and follow suggestions. Fill out the application carefully and completely. All applications are required to have a completed Development Checklist accompanying the application. Insufficient information may only delay your hearing. All plans/maps must be **FOLDED**, not rolled.
- **PLEASE BE AWARE**

When you are finished filling out your application and have all the information that you need (reports, drawings, maps, surveys etc.) you must forward fifteen (15) copies of everything to the Planning Board Administrator.

- **Land Use & Development Applications:** (Site Plans, Subdivisions, Conditional Use, etc., WITH OR WITHOUT VARIANCES & WAIVERS) submit 15 copies of Application and Plans/Maps/etc.
- **Bulk Variance Applications:** (for single-family and two-family uses ONLY, without any other relief or approval), submit 15 copies of Application & Plot Plans/Surveys/Maps.
- **FOR ALL APPLICATIONS** apply to the Tax Assessor ([assessor@minehill.com](mailto:assessor@minehill.com)) for a Certified List of Property Owners within 200 feet. When making your request, please also copy the Clerk/Planning Board Administrator at [clerk@minehill.com](mailto:clerk@minehill.com). The fee is \$10 and checks are to be made payable to Mine Hill Township. Lists are completed within seven days of receipt of payment either to the Tax Assessor or the Clerk/Planning Board Administrator.

### APPLICATION FEES AND ESCROW DEPOSITS ARE TO BE IN SEPARATE CHECKS (THERE WILL BE 2 OF THEM)

- The usual amount for the application fee is \$150.00, unless otherwise specified. The usual amount for the escrow account is \$1,000.00 unless otherwise specified by the Municipal Planner. It really depends on the size of the application and if you have any variances.

## OTHER SUBMISSION REQUIREMENTS

1. Obtain from the Tax Collector **Proof of Paid Taxes** and submit with all applications.  
(3 copies)
2. Major Site Plans & Subdivisions: **15 copies** of any required Reports and/or Impact Statements (Traffic, Drainage, Environmental Impacts, etc.)
3. Electronic files - Compact disk and a portable USB Drive containing digital copies (PDF format) of all plans, maps, reports, applications, checklists, and other documents filed with the development application.
4. Attach a copy of the proposed Notice to be published in **either** the West Morris Reporter or the Daily Record and to be mailed to the 200-ft. surrounding properties.  
***This notice must specify the sections of the ordinance from which relief is sought, if applicable. This must be published at least ten (10) days before the public hearing or it will not be heard.***
5. Please note that SUBMISSIONS FOR ALL HEARINGS need to be received fourteen (14) calendar days prior to the hearing.

## COMPLETENESS REVIEW

1. The Planner of the Board will review your application package for compliance with the submission requirements, fees, escrow and other administrative requirements.
2. The Board Engineer or Planner will perform a completeness review of Site Plan, Subdivision and Variance applications, and will recommend whether or not your application may be deemed complete.
3. Your application will be deemed complete or incomplete within 45 days of submission according to law.
4. **When your application has been deemed complete**, the Planning Board Administrator will inform you of a hearing date. Do not assume that you are on the next months agenda.
5. Upon notification that your application is complete you are to publish and serve the Notice according to law. This notice must be printed in the newspaper no less than ten (10) Days before the Public Hearing or it will not be heard. ***Do not give Public Notice until the application is deemed complete by the Municipal Planner or the Board Engineer.***
  - Obtain an Affidavit of Publication from the newspaper and submit to the Planning Board Administrator no later than 3 days before the hearing.
  - An Affidavit of Proof of Service and the white certified mail receipts with the date stamped by the Post Office, along with a copy of the Assessor's certified 200-ft. list must be submitted to the Planning Board Administrator.
  - If the subject property lies **within 200-ft. of a County or State Road**, the appropriate County or State agency must be served Notice.

## **PUBLIC HEARING PROCESS**

### **SUBMISSIONS FOR ALL HEARINGS NEED TO BE RECEIVED FOURTEEN (14) CALENDAR DAYS PRIOR TO THE HEARING.**

1. The Planning Board is hearing your application as a quasi-judicial body. At your hearing, you will be asked (under oath) to describe to the Board the development and / or changes you propose to make and, if applicable, why you are entitled to relief according to the law. The burden of proof is upon you, the Applicant, to establish the elements of your case. The Board will reach a determination on your case based upon the findings of fact and, the proofs according to law.
2. You must appear in person and /or be represented by an Attorney at your public hearing(s). Any Applicant, other than a corporation, may present their own matter without representation by an Attorney. However, Applicants are cautioned that particularly with applications involving requests for variances and conditional use permits, there are specific requirements imposed by the Law of the State of New Jersey and you may wish to consider seeking adequate legal advice. All Corporations must be represented by an Attorney.
3. When your application has been considered fully, the Board will make a determination based upon testimony from the Applicant, professionals and witnesses plus reports and reviews by various departments and outside agencies having jurisdiction over the matter. The Board Attorney will be asked to prepare a Resolution of approval or denial. This Resolution will be memorialized (acted upon) at the following meeting. You do not need to be present at the memorialization.

## **GENERAL INFORMATION**

### **MEETING DATES**

Planning Board – 1<sup>st</sup> Monday of the Month

- Please note: Meeting dates are subject to change due to scheduling conflicts, holidays, adverse weather, cancellations etc. Please inquire with the Planning Board Office at 862-437-1190.

---

These instructions and suggestions are an attempt to assist the Applicant, and are not intended to be a complete list of requirements pursuant to the Municipal Land Use Law, other State Statutes, and applicable Ordinances of Mine Hill Township. The sole responsibility of presentation of the application and procedural requirements is up to the Applicant.

---

## **SCHEDULE OF FEES**

Every application for development shall be accompanied by (2) checks. One for the application fee, and one to be put in your escrow account. Checks are payable to Mine Hill Township in accordance with the following schedule.

|                                                    | <b>Application Fee</b> | <b>Escrow (Account) Fund</b>                                                                                                                                                                                                                   |
|----------------------------------------------------|------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>A. Subdivision</b>                              |                        |                                                                                                                                                                                                                                                |
| 1. Minor Plat                                      | \$150                  | \$1,000                                                                                                                                                                                                                                        |
| 2. Preliminary Major Plat                          | \$500                  | \$1,500, plus \$75 per lot, provided a minimum \$2,000 shall be deposited                                                                                                                                                                      |
| 3. Final Major Plat                                | \$300                  | \$750, plus \$75 per lot, provided a minimum \$1,000 shall be deposited                                                                                                                                                                        |
| 4. Concept Plan for Review<br>N.J.S.A. 40:55D-10.1 |                        |                                                                                                                                                                                                                                                |
| a. Minor Plat                                      | \$50                   | \$500                                                                                                                                                                                                                                          |
| b. Major Plat                                      | \$100                  | \$1,000                                                                                                                                                                                                                                        |
| <b>B. Site Plans</b>                               |                        |                                                                                                                                                                                                                                                |
| 1. Minor Site Plan                                 | \$200                  | \$1,300                                                                                                                                                                                                                                        |
| 2. Preliminary Plan                                |                        |                                                                                                                                                                                                                                                |
| a. Commercial                                      | \$400                  | \$1,800 per acre or part thereof, plus \$75 per dwelling unit in the case of multiple-family units and/or \$0.05 per square foot of gross building area in the case of nonresidential buildings, provided a minimum \$2,000 shall be deposited |
| b. Residential                                     | \$300                  | \$1,800 per acre or part thereof, plus \$75 per dwelling unit in the case of multiple-family units and/or \$0.05 per square foot of gross building area in the case of nonresidential buildings, provided a minimum \$2,000 shall be deposited |

|    |                                                              | <b>Application Fee</b> | <b>Escrow (Account) Fund</b>                                                                                                                                                                                                                  |
|----|--------------------------------------------------------------|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 3. | Final Plan                                                   |                        |                                                                                                                                                                                                                                               |
|    | a. Commercial                                                | \$100                  | \$900 per acre or part thereof, plus \$40 per dwelling unit in the case of multiple-family units and/or \$0.025 per square foot of gross building area in the case of nonresidential buildings, provided a minimum \$1,000 shall be deposited |
|    | b. Residential                                               | \$100                  | \$900 per acre or part thereof, plus \$40 per dwelling unit in the case of multiple-family units and/or \$0.025 per square foot of gross building area in the case of nonresidential buildings, provided a minimum \$1,000 shall be deposited |
| 4. | Informal presentation                                        | \$100                  |                                                                                                                                                                                                                                               |
| 5. | Concept Plan for Review                                      |                        |                                                                                                                                                                                                                                               |
|    | a. Minor Plan                                                | \$50                   | \$500                                                                                                                                                                                                                                         |
|    | b. Major Plan                                                | \$100                  | \$1,000                                                                                                                                                                                                                                       |
| 6. | Amended Preliminary<br>Major and/or Final<br>Major Site Plan | \$150                  | \$1,000                                                                                                                                                                                                                                       |

#### **C. Variances**

|    |                                                                                                |                                          |                          |
|----|------------------------------------------------------------------------------------------------|------------------------------------------|--------------------------|
| 1. | Appeals<br>(N.J.S.A 40:55D-70a)                                                                | \$150                                    | \$1,000                  |
| 2. | Interpretation<br>(N.J.S.A 40:55D-70b)<br>Certificate of Non-Conformity<br>(N.J.S.A 40:55D-68) | \$100                                    | \$1,000                  |
| 3. | Bulk<br>(N.J.S.A. 40:55D-70c)                                                                  | \$200<br>\$100 when filed with site plan | \$1,000 with subdivision |

|                                                                                               | <b>Application Fee</b>                                                                                           | <b>Escrow (Account) Fund</b> |
|-----------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------------|
| <b>4. Use<br/>(N.J.S.A. 40-55D-70d)</b>                                                       |                                                                                                                  |                              |
| a. Residential                                                                                | \$250                                                                                                            | \$500                        |
| b. Commercial                                                                                 | \$500                                                                                                            | \$500                        |
| <b>5. Permit<br/>(N.J.S.A. 40:55D-34,<br/>N.J.S.A. 40:55D-35, and<br/>N.J.S.A. 40:55D-36)</b> | \$300                                                                                                            | \$2,500                      |
| <b>D. Concept Plan</b>                                                                        |                                                                                                                  |                              |
| Zone Change                                                                                   | \$500                                                                                                            | \$2,500                      |
| <b>E. Conditional Use Application</b>                                                         |                                                                                                                  |                              |
| a. Residential Zones                                                                          | \$200                                                                                                            | \$1,000                      |
| b. Nonresidential Zones                                                                       | \$750                                                                                                            | \$2,000                      |
| <b>F. Appeals</b>                                                                             |                                                                                                                  |                              |
| Appeals to Township Council                                                                   | \$250                                                                                                            | None Required                |
| <b>G. Certified List</b>                                                                      |                                                                                                                  |                              |
| Certified List of<br>Property Owners                                                          | \$0.25 per name or<br>\$10, whichever is<br>greater                                                              | None Required                |
| <b>H. Copy of Minutes</b>                                                                     |                                                                                                                  |                              |
| Transcripts of Decision                                                                       | \$5 per page for first<br>copy of said page,<br>plus \$0.25 per copy for<br>each additional copy<br>of said page |                              |
| <b>I. Special meeting</b>                                                                     |                                                                                                                  |                              |
| Held at Request<br>Of Applicant                                                               | \$200                                                                                                            | \$1,000                      |
| <b>J. Extension of Time</b>                                                                   |                                                                                                                  |                              |
| Review request for<br>Extension of Time                                                       | \$100                                                                                                            | \$500                        |

**K.** The application fee is a flat fee to cover administrative expenses and is non-refundable. The escrow fund account is established to cover the costs of professional services including engineering, planning, legal and other expenses connected with the review and processing of the submitted materials. Sums not connected with the review shall be returned to the Applicant. If additional sums are deemed necessary, the Applicant shall be notified of the required additional amount and shall add such sum to the escrow within fifteen (15) days.

The municipality may be Ordinance exempt, according to Uniform Standards, charitable, philanthropic, fraternal and religious non-profit organizations holding a tax-exempt status under the Federal Internal Revenue Code of 1954 26 U.S.C.501 (c) or (d) from the payment of any fee charged under this act.

**L.** Where one application for development includes several approval requests of one variance type, the cumulative sum of the individual required variance application fees shall be paid.

**M.** Each Applicant for subdivision or site plan approval shall agree to pay all reasonable costs for professional review of the application and for inspection of the improvements. All such costs for review and inspection must be paid before any approval plat, plan or deed is signed or any construction permit is issued and all remaining costs must be paid in full before any occupancy of the premises is permitted or Certificate of Occupancy issued.

**N.** If an Applicant desires a court reporter, the cost for taking testimony and transcribing it and providing a copy of the transcript to the Township shall be at the expense of the Applicant who shall arrange for the reporter's attendance.

## APPLICATION TO MINE HILL PLANNING BOARD

---

---

### **FOR OFFICIAL USE ONLY**

Date Application Filed: \_\_\_\_\_

Escrow Paid: Amount: \_\_\_\_\_ Date: \_\_\_\_\_

Date File Complete: \_\_\_\_\_

Time Period Expires: \_\_\_\_\_

---

---

### **SECTION 1. "C" VARIANCE OPTION:**

If the application involves nothing more than:

- a. The erection of a fence or shed on the property of a single or two-family residence, or
- b. Construction of a swimming pool accessory to a single or two-family residence, or
- c. Construction of an addition to or an alteration of a single or two-family residence,

Then the Applicant may submit a simple sketch of the property showing clearly what is proposed and its relationship to existing structures. Upon choice of this option,

Check the box to affect the "C" Variance option.

***NOTE: Even if this is a "C" Variance application, all of the above requirements (as well as all notice requirements) must be adhered to or your case cannot be heard by the Board.***

---

### **SECTION 2. APPEAL FROM DENIAL OF BUILDING PERMIT:**

If this application has arisen as the result of the denial of a zoning permit, please secure from the administrative officer an appeal form giving the reasons for denying the zoning permit and submit it with the application.

---

### **SECTION 3. INFORMATION REGARDING THIS APPLICANT:**

- A. The Applicant's Full Legal Name is: \_\_\_\_\_
- B. The Applicant's Mailing Address is: \_\_\_\_\_
- C. The Applicant's Telephone Number is: \_\_\_\_\_

D. The Applicant is a:  Corporation  
 Partnership  
 Individual (s)  
 Other (please specify) \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**NOTE: NEW JERSEY LAW REQUIRES CORPORATIONS TO BE REPRESENTED BY AN ATTORNEY BEFORE THE BOARD**

E. If the Applicant is a corporation or a partnership, please attach a list of the names and addresses of the persons having 10% interest or more in the corporation or partnership.

F. The relationship of the Applicant to the property in question is: Owner \_\_\_\_\_  
Tenant or Lessee \_\_\_\_\_ Purchaser under Contract \_\_\_\_\_ Other (please specify) \_\_\_\_\_  
\_\_\_\_\_

G. If the Applicant is not the owner of the property in question, the Applicant must obtain and submit a copy of this application signed by the owner in the space provided in Section 9.  
\_\_\_\_\_

---

**SECTION 4. INFORMATION REGARDING THE PROPERTY:**

A. The street address of the property is \_\_\_\_\_

B. The location of the property is approximately \_\_\_\_\_ feet from the intersection of \_\_\_\_\_ and \_\_\_\_\_

C. The tax map Block number (s) is \_\_\_\_\_; the Lot number is(s) \_\_\_\_\_.  
(See tax bill or deed or call Tax Office for this information.)

D. The zone in which the property is located is \_\_\_\_\_ (The Construction Office can help determine this information.)

E. The dimensions of the property are \_\_\_\_\_

F. The size of the property is \_\_\_\_\_ square feet.

G. The property is located:

1. Within 200 feet of another municipality
2. Adjacent to an existing or proposed county road
3. Adjacent to other county land
4. Adjacent to a state highway

H. Has there been previous Planning Board or Board of Adjustment hearings involving this property? Yes \_\_\_\_\_ No \_\_\_\_\_

I. If the answer to "H" is Yes, attach a copy of the written decision(s) adopted by the applicable Board.

---

## **Section 5. INFORMATION ABOUT REQUESTED RELIEF:**

A. **“Proposal”** A statement must be provided with this application entitled **“Proposal”** setting forth the particulars of the proposed use of the **Property** (If other than single-family residential) and a description of the proposed physical changes to the **Property**. (Include all physical improvements such as structures, additions, landscaping, etc.)

B. **“Reasons for Relief”** A statement must be provided with this application entitled **“Reasons for Relief”** setting forth the facts relied upon to support the Applicant’s claim of right to relief.

C. **Nature of Application**, check appropriate items.

1. Interpretation of development ordinance or map
2. Appeal of action of administrative officer
3. Variance: “C” variance  “D” use variance
4. Subdivision   
Subdivision application to follow   
Final  Preliminary
5. Site Plan   
Site plan application to follow   
Final  Preliminary
6. Waiver of lot to abut street requirement
7. Exception to the official map
8. Section of **N.J.S.A 40:55D-68** Certificate of Non-Conformity
9. Section (s) of **N.J.S.A. 40:55D-1** et seq. to be relied upon in this application

---

---

10. Other

---

---

D. The proposed use, building, or subdivision is contrary to: (List the specific Articles and Sections of the ordinance from which a variance is sought, the requirement itself and the proposed variation. If additional space is needed, please attach a list to this application.)

|            |               |                |                |
|------------|---------------|----------------|----------------|
| Art. _____ | Section _____ | Required _____ | Proposed _____ |
| Art. _____ | Section _____ | Required _____ | Proposed _____ |
| Art. _____ | Section _____ | Required _____ | Proposed _____ |
| Art. _____ | Section _____ | Required _____ | Proposed _____ |

E. Attach proof from Tax Collector that all taxes are paid to the date of this application.

---

---

## **SECTION 6. INFORMATION ABOUT EXPERTS:**

- A. Applicant's Attorney: Name/Phone Number \_\_\_\_\_  
Address \_\_\_\_\_
- B. Applicant's Engineer: Name/Phone Number \_\_\_\_\_  
Address \_\_\_\_\_
- C. Applicant's Architect: Name/Phone Number \_\_\_\_\_  
Address \_\_\_\_\_
- D. Applicant's Planner: Name/Phone Number \_\_\_\_\_  
Address \_\_\_\_\_
- E. Other Experts: Name/Phone Number \_\_\_\_\_  
Address \_\_\_\_\_

---

## **SECTION 7. INFORMATION ABOUT REQUIRED EXHIBITS:**

A "complete application" requires the following submissions.

Please check if item submitted with this form:

1.  Eighteen (15) copies of this application.
2.  Eighteen (15) Plot plans.
3.  Checklist, Completed
4.  List of property owners within 200 feet of this property.
5.  Copy of owner's notice and newspaper notice
6.  List of others served, e.g., County, State, etc.
7.  Electronic Files.

---

## **SECTION 8. NOTICE**

Applicant is responsible to publish and serve notice of this application in accordance with the Zoning Ordinances of Mine Hill Township and the Municipal Land Use Act (N.J.S.A. 40:55D-1 *et seq.*, however, notice may not be affected until this application is certified as complete by the Chairman of the Board. The affidavit of service (together with mailing receipts and return receipts; and proof of publication) must be submitted to the Planning Board Administrator three (3) days prior to the date of the meeting.

---

**SECTION 9. VERIFICATION AND AUTHORIZATION:**

**A. APPLICANT'S VERIFICATION**

I hereby certify that the above statements made by me and the statements and information contained in the papers submitted in connection with this application are true. I am aware that if any of the foregoing statements are willfully false, I am subject to punishment.

---

Applicant's Signature

**B. OWNER'S AUTHORIZATION**

I hereby certify that I reside at \_\_\_\_\_ in the County of \_\_\_\_\_ and State of \_\_\_\_\_; and that I am the owner of all that certain lot, piece or parcel of land known as Block (s) \_\_\_\_\_ Lot (s) \_\_\_\_\_ on the tax map of \_\_\_\_\_ which property is the subject of the above application, and that said application is hereby authorized by me.

---

Owner's Signature

***NOTE: FAILURE TO COMPLETE ALL APPLICABLE SECTIONS OF THIS APPLICATION WILL CAUSE YOUR CASE NOT TO BE HEARD.***

APPLICANT'S NAME: \_\_\_\_\_

## **Development Checklists – Submission with Applications for Development**

*The following development checklists must be completed and submitted with the application for development. The checklist requirements are the basis for the determination of a complete application and where items are required in the checklist and not provided, the application shall be deemed to be incomplete.*

---

**::::CHECK LIST::::**  
**Details Required for**  
**Minor Subdivision Plats and Minor Site Plans**

|  |                                                                                                                                                 |
|--|-------------------------------------------------------------------------------------------------------------------------------------------------|
|  | Application Form (s) and Checklist(s) (15 copies)                                                                                               |
|  | Plats or Plans (15 copies) signed and sealed by a N.J.P.L.S. or N.J.P.E., as required, and folded into eighths with title block revealed        |
|  | Protective Covenants, Easements and/or Deed Restrictions (3 copies)                                                                             |
|  | Scale of not less than 1" = 50' on one of four of the following standard sheet sizes (8-1/2" x 14"; 15" x 21"; 24" x 36"; or 30" x 42")         |
|  | Key map at not more than 1"=1000'                                                                                                               |
|  | Title block:                                                                                                                                    |
|  | Name of subdivision or development, Mine Hill Township, Morris County, with each sheet specifically titled with appropriately descriptive words |
|  | Name, title, address and telephone number of subdivider or developer                                                                            |
|  | Name, title, address and license number of the professional or professionals who prepared the plot or plan                                      |
|  | Name, title and address of the owner or owners of record                                                                                        |
|  | North arrow                                                                                                                                     |
|  | Scale (written and graphic)                                                                                                                     |
|  | Date of original preparation and of each subsequent revision thereof and a list of specific revisions entered on each sheet                     |
|  | The submission of the information required in Sections 310-43 and 310-44 for all corporations and partnerships                                  |
|  |                                                                                                                                                 |

|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | Affidavit of ownership, where the applicant is not the owner                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|  | Submission of all required fees and deposits                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|  | Acreage figures (both with and without areas within public rights-of-way)                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|  | Approval signature lines for the Chairman, Secretary and Engineer                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|  | Existing block and lot number(s) of the lot(s) to be subdivided or developed as they appear on the Township Tax Map, and proposed block and lot numbers as provided by the Township Tax Assessor upon written request;                                                                                                                                                                                                                                                                                     |
|  | Tract boundary line (heavy solid line)                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|  | The location of existing and proposed property lines (with bearings and distances), streets, structures (with their numerical dimensions and an indication as to whether existing structures will be retained or removed), parking spaces, loading areas, septic systems, wells, utility connections, driveways, watercourses, railroads, bridges, culverts, drain pipes, any natural features such as wetlands and treed areas, both within the tract and within one hundred feet (100') of its boundary. |
|  | The location and width of all existing and proposed utility easements, the use(s) for which they are intended to be limited, and the manner in which the easements will be controlled.                                                                                                                                                                                                                                                                                                                     |
|  | Zoning districts affecting the tract, including district names and all area and bulk requirements, with a comparison to the proposed development.                                                                                                                                                                                                                                                                                                                                                          |
|  | Proposed buffer and landscaped areas                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|  | Delineation of flood plains, including both floodway and flood fringe areas                                                                                                                                                                                                                                                                                                                                                                                                                                |
|  | Topographic information both within the tract and within one hundred (100) feet of the tract boundary                                                                                                                                                                                                                                                                                                                                                                                                      |
|  | Marshes, ponds and land subject to flooding within the tract and within one hundred (100) feet thereof.                                                                                                                                                                                                                                                                                                                                                                                                    |
|  | The names of all adjacent property owners as they appear on the most recent tax list prepared by the Tax Assessor                                                                                                                                                                                                                                                                                                                                                                                          |
|  | Five (5) copies of a certificate from the Township Tax Collector indicating that all taxes and assessments are paid to date                                                                                                                                                                                                                                                                                                                                                                                |
|  | Five (5) copies of the completed application to the Morris County Planning Board                                                                                                                                                                                                                                                                                                                                                                                                                           |

|  |                                                                                                                                                                                                            |
|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | Concerning minor subdivisions only, existing and proposed monuments                                                                                                                                        |
|  | Proposals for soil erosion and sediment control as required by N.J.S.A. 4:24-39 et seq.                                                                                                                    |
|  | Concerning minor subdivisions only, an Environmental Impact Statement in accordance with the provisions of Article XXVIII                                                                                  |
|  | Road right-of-way dedication and improvement, as applicable                                                                                                                                                |
|  | Plans of proposed improvements and/or utility layouts as required by Ordinance; and required letters from appropriate State and County agencies granting approval for the extension of utility service(s). |
|  | Sight triangle easements, as applicable                                                                                                                                                                    |
|  | Deed descriptions (including metes and bounds), easements, covenants, restrictions, and roadway dedications                                                                                                |
|  | Soils Mapping                                                                                                                                                                                              |
|  | Percolation test data, soil log locations, and soils testing information for any property proposed to be serviced by an individual subsurface wastewater system.                                           |
|  | Mine areas within the tract                                                                                                                                                                                |
|  | A sixty-year title search dated within 6 months of the application date including copies of all deeds, easements, covenants, restrictions and other items affecting title to the property (3 copies)       |
|  | A stormwater management plan pursuant to the requirements in Section 465-9 of the Code of the Township                                                                                                     |
|  | A "Major Development Stormwater Summary Sheet" shall be submitted when a stormwater management basin is proposed on a project                                                                              |
|  | Compact disk and portable USB Drive containing digital copies (PDF format) of all plans, maps, reports, applications, checklists, and other documents filed with the development application               |

---

Signature and Title of person who prepared check list.

---

Date

**LAND USE**  
**310 ATTACHMENT 4:2**  
**::::CHECK LIST::::**  
**Details Required for**  
**Preliminary Major Subdivision Plats and Preliminary Major Site Plans**

|  |                                                                                                                                                 |
|--|-------------------------------------------------------------------------------------------------------------------------------------------------|
|  | Application Form (s) and Checklist(s) (15 copies)                                                                                               |
|  | Plats or Plans (15 copies) signed and sealed by a NJ Professional Engineer, and folded into eighths with title block revealed                   |
|  | Protective Covenants, Easements and/or Deed Restrictions (3 copies)                                                                             |
|  | Scale of not less than 1" = 100' on one of four of the following standard sheet sizes (8-1/2" x 14"; 15" x 21"; 24" x 36"; or 30" x 42")        |
|  | Key map at not more than 1"=1000'                                                                                                               |
|  | Title block:                                                                                                                                    |
|  | Name of subdivision or development, Mine Hill Township, Morris County, with each sheet specifically titled with appropriately descriptive words |
|  | Name, title and address of the owner or owners of record                                                                                        |
|  | North arrow                                                                                                                                     |
|  | Scale (written and graphic)                                                                                                                     |
|  | Date of original preparation and of each subsequent revision thereof and a list of specific revisions entered on each sheet;                    |
|  | The submission of the information required in Sections 310-43 and 310-44 for all corporations and partnerships                                  |
|  | Affidavit of ownership, where the applicant is not the owner                                                                                    |
|  | Submission of all required fees and deposits                                                                                                    |
|  | Approval of signature lines for the Chairman, Secretary and Engineer                                                                            |
|  | Acreage to the nearest tenth of an acre (both with and without areas within public rights-of-way)                                               |
|  |                                                                                                                                                 |

|  |                                                                                                                                                                                                                                                    |
|--|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | The name and lot and block numbers of all property owners within 200 feet of the extreme limits of the tract as shown on the most recent tax list prepared by the Township Tax Assessor                                                            |
|  |                                                                                                                                                                                                                                                    |
|  | Existing block and lot number(s) of the lot(s) to be subdivided or developed as they appear on the Township Tax Map, and proposed block and lot numbers as provided by the Township Tax Assessor upon written request;                             |
|  |                                                                                                                                                                                                                                                    |
|  | Tract boundary line (heavy solid line)                                                                                                                                                                                                             |
|  |                                                                                                                                                                                                                                                    |
|  | Zoning districts, affecting the tract, including district names and all area and bulk requirements, with a comparison to the proposed development.                                                                                                 |
|  |                                                                                                                                                                                                                                                    |
|  | The location of natural features such as wetlands and treed areas, both within the tract and within 100 feet of its boundary.                                                                                                                      |
|  |                                                                                                                                                                                                                                                    |
|  | The proposed location of all proposed plantings, with a legend listing the botanical and common names, the sizes at time of planting, the total quantity of each plant, and the location of each plant keyed to the plan or plat.                  |
|  |                                                                                                                                                                                                                                                    |
|  | Existing and proposed watercourses with required information:                                                                                                                                                                                      |
|  |                                                                                                                                                                                                                                                    |
|  | When a stream is proposed for alteration, improvement or relocation or when a drainage structure of fill is proposed over, under, in or along a running stream, a report on the status of the review by the NJ Department of Environmental Project |
|  |                                                                                                                                                                                                                                                    |
|  | Cross-sections of watercourses and/or drainage swales at an appropriate scale showing the extent of flood plain, top of bank, normal water levels and bottom elevations at locations required by the Township Engineer                             |
|  |                                                                                                                                                                                                                                                    |
|  | The location and extent of drainage and conservation easements and stream encroachment lines; and                                                                                                                                                  |
|  |                                                                                                                                                                                                                                                    |
|  | The location and type of adequate drainage provisions to reasonably reduce and minimize exposure to flood damage                                                                                                                                   |
|  |                                                                                                                                                                                                                                                    |
|  | Existing and proposed topographic information both within the tract and within one hundred (100) feet of the boundary                                                                                                                              |
|  |                                                                                                                                                                                                                                                    |
|  | Proposals for soil erosion and sediment control as required by NJSA 4:24-39 et seq.                                                                                                                                                                |
|  |                                                                                                                                                                                                                                                    |
|  | The location of all existing structures as required by Ordinance                                                                                                                                                                                   |
|  |                                                                                                                                                                                                                                                    |
|  | Size, height and location of all proposed structures and buildings                                                                                                                                                                                 |
|  |                                                                                                                                                                                                                                                    |
|  |                                                                                                                                                                                                                                                    |

|  |                                                                                                                                                                                                         |
|--|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | Floor plans and elevations for all new buildings and buildings proposed for renovation and/or expansion                                                                                                 |
|  |                                                                                                                                                                                                         |
|  | All dimensions necessary to confirm conformity to the Ordinance requirements                                                                                                                            |
|  |                                                                                                                                                                                                         |
|  | The proposed location, direction of illumination, power and type of proposed outdoor lighting, including details and luminaries                                                                         |
|  |                                                                                                                                                                                                         |
|  | The proposed screening, buffering and landscaping plan, with the information required by Ordinance                                                                                                      |
|  |                                                                                                                                                                                                         |
|  | The location and design of any off-street parking area, showing size and location of bays, aisles and barriers.                                                                                         |
|  |                                                                                                                                                                                                         |
|  | All means of vehicular access or egress to and from the site onto public streets                                                                                                                        |
|  |                                                                                                                                                                                                         |
|  | Plans and computations for any storm drainage systems as required by the Township Engineer                                                                                                              |
|  |                                                                                                                                                                                                         |
|  | The location of existing utility structures on the tract and within 200 feet of its boundaries                                                                                                          |
|  |                                                                                                                                                                                                         |
|  | Plans of proposed improvements and utility layouts as required by Ordinance; and required letters from appropriate State and County agencies granting approval for the extension of utility service(s). |
|  |                                                                                                                                                                                                         |
|  | Method of water supply and sewage treatment measures                                                                                                                                                    |
|  |                                                                                                                                                                                                         |
|  | Plans, typical cross sections and construction details, horizontal and vertical alignment of the centerline of all proposed streets and of existing streets abutting the tract                          |
|  |                                                                                                                                                                                                         |
|  | A copy of any protective covenants or deed restrictions applying to the land being developed or an indication of them on the submitted plat or plan (3 copies)                                          |
|  |                                                                                                                                                                                                         |
|  | The location and width of all existing and proposed utility easements, the use(s) for which they are intended to be limited, and the manner in which the easements will be controlled.                  |
|  |                                                                                                                                                                                                         |
|  | Proposed permanent monuments.                                                                                                                                                                           |
|  |                                                                                                                                                                                                         |
|  | The proposed number of shifts to be worked, the maximum number of employees on each shift, and the hours of operation                                                                                   |
|  |                                                                                                                                                                                                         |
|  | Five (5) copies of a certificate from the Township Tax Collector indicating that all taxes and assessments are paid to date                                                                             |
|  |                                                                                                                                                                                                         |

|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | Five (5) copies of the completed application to the Morris County Planning Board                                                                                                                                                                                                                                                                                                                                                                                      |
|  | All preliminary major subdivision and/or preliminary major site plan applications shall be accompanied by a grading plan in accordance with Article XXXIV                                                                                                                                                                                                                                                                                                             |
|  | Traffic impact analyses of the proposed development                                                                                                                                                                                                                                                                                                                                                                                                                   |
|  | Soils mapping                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|  | Percolation test data, soil log locations, and soils testing information for any property proposed to be serviced by an individual subsurface wastewater system.                                                                                                                                                                                                                                                                                                      |
|  | Mine areas within the tract                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|  | Geotechnical investigation report in accordance with the provisions in Section 310-202F of the Code.                                                                                                                                                                                                                                                                                                                                                                  |
|  | A sixty-year title search dated within 6 months of the application date including copies of all deeds, easements, covenants, restrictions and other items affecting title to the property (3 copies)                                                                                                                                                                                                                                                                  |
|  | A stormwater management plan pursuant to the requirements in Section 465-9 of the Code of the Township                                                                                                                                                                                                                                                                                                                                                                |
|  | A "Major Development Stormwater Summary Sheet" shall be submitted when a stormwater management basin is proposed on a project                                                                                                                                                                                                                                                                                                                                         |
|  | Compact disk and portable USB Drive containing digital copies (PDF format) of all plans, maps, reports, applications, checklists, and other documents filed with the development application                                                                                                                                                                                                                                                                          |
|  | In case of any subdivision or site plan submission of a planned development, all the required information for all of the properties comprising the planned development                                                                                                                                                                                                                                                                                                |
|  | The Board reserves the right to acquire additional information before granting preliminary approval when unique circumstances affect the tract and/or when the application for development poses special problems for the tract and the surrounding area. Such information may include, but not be limited to, drainage calculations and traffic analyses, provided however that no application shall be declared incomplete for lack of such additional information. |

---

Signature and Title of person who prepared check list

---

Date

**LAND USE**  
**310 ATTACHMENT 4:3**  
**::::CHECK LIST::::**  
**Details Required for**  
**Final Major Subdivision Plats and Final Major Site Plans**

|  |                                                                                                                                                                                                                                                                  |
|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | Application Form (s) and Checklist(s) (15 copies)                                                                                                                                                                                                                |
|  | Plats or Plans (15 copies) signed and sealed by a N.J.P.L.S. or N.J.P.E., as required, and folded into eighths with title block revealed                                                                                                                         |
|  | Scale of not less than 1" = 100' on one of four of the following standard sheet sizes (8-1/2" x 14"; 15" x 21"; 24" x 36"; or 30" x 42")                                                                                                                         |
|  | All details and information stipulated in Checklist 310 Attachment 4:2                                                                                                                                                                                           |
|  | All additional details required at the time of preliminary approval                                                                                                                                                                                              |
|  | A section or staging plan, if proposed                                                                                                                                                                                                                           |
|  | Certification from the Township Tax Collector indicating that all taxes and assessments are up-to-date                                                                                                                                                           |
|  | Letters directed to the Chairman of the Board and signed by a responsible official of all utility companies, etc., providing service to the tract as required by Ordinance                                                                                       |
|  | Certification in writing from the applicant to the Board that the applicant has:<br><br>(a) Installed all improvements in accordance with the requirements of the Ordinance; and/or,<br>(b) Posted a performance guarantee in accordance with the Land Use Code. |
|  | A statement from the Township Engineer indicating that all installed improvements have been inspected.                                                                                                                                                           |
|  | A "Major Development Stormwater Summary Sheet" shall be submitted when a stormwater management basin is proposed on a project.                                                                                                                                   |
|  | Compact disk and portable USB Drive containing digital copies (PDF format) of all plans, maps, reports, applications, checklists, and other documents filed with the development application                                                                     |

---

Signature and Title of person who prepared check list.

---

Date

**LAND USE**  
**310 ATTACHMENT 4:4**  
**::::CHECK LIST::::**  
**Details Required for**  
**Variance Applications**

|  |                                                                                                                                                 |
|--|-------------------------------------------------------------------------------------------------------------------------------------------------|
|  | Application Form (s) and Checklist(s) (15 copies)                                                                                               |
|  | Plats or Plans (15 copies) signed and sealed by a N.J.P.L.S. or N.J.P.E., as required, and folded into eighths with title block revealed        |
|  | Scale of not less than 1" = 100' on one of four of the following standard sheet sizes (8-1/2" x 14"; 15" x 21"; 24" x 36"; or 30" x 42")        |
|  | Key map at not more than 1"=1000'                                                                                                               |
|  | Title block:                                                                                                                                    |
|  | Name of subdivision or development, Mine Hill Township, Morris County, with each sheet specifically titled with appropriately descriptive words |
|  | Name, title, address and telephone number of subdivider or developer                                                                            |
|  | Name, title, address and license number of the professional or professionals who prepared the plot or plan                                      |
|  | Name, title and address of the owner or owners of record                                                                                        |
|  | North arrow                                                                                                                                     |
|  | Scale (written and graphic)                                                                                                                     |
|  | Date of original preparation and of each subsequent revision thereof and a list of specific revisions entered on each sheet                     |
|  | Affidavit of ownership when the applicant is not the owner                                                                                      |
|  | Submission of all required fees and deposits                                                                                                    |
|  | The submission of information required in Sections 310-43 and 310-44 for all corporations and partnerships                                      |
|  | Acreage figures (both with and without areas within public rights-of-way)                                                                       |
|  | Approval signature lines for the Chairman, Secretary and Engineer                                                                               |
|  |                                                                                                                                                 |

|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | Existing block and lot number(s) of the lot(s) to be subdivided or developed as they appear on the Township Tax Map, and proposed block and lot numbers as provided by the Township Tax Assessor upon written request;                                                                                                                                                                                                                                                                                     |
|  | Tract boundary line (heavy solid line)                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|  | The location of existing and proposed property lines (with bearings and distances), streets, structures (with their numerical dimensions and an indication as to whether existing structures will be retained or removed), parking spaces, loading areas, septic systems, wells, utility connections, driveways, watercourses, railroads, bridges, culverts, drain pipes, any natural features such as wetlands and treed areas, both within the tract and within one hundred feet (100') of its boundary. |
|  | The location and width of all existing and proposed utility easements, the use(s) for which they are intended to be limited, and the manner in which the easements will be controlled.                                                                                                                                                                                                                                                                                                                     |
|  | Zoning districts affecting the tract, including district names and all area and bulk requirements, with a comparison to the proposed development.                                                                                                                                                                                                                                                                                                                                                          |
|  | Proposed buffer and landscaped areas                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|  | Delineation of flood plains, including both floodway and flood fringe areas                                                                                                                                                                                                                                                                                                                                                                                                                                |
|  | Topographic information both within the tract and within one hundred (100) feet of the tract boundary                                                                                                                                                                                                                                                                                                                                                                                                      |
|  | Marshes, ponds and land subject to flooding within the tract and within one hundred (100) feet thereof.                                                                                                                                                                                                                                                                                                                                                                                                    |
|  | The names of all adjacent property owners as they appear on the most recent tax list prepared by the Tax Assessor                                                                                                                                                                                                                                                                                                                                                                                          |
|  | Five (5) copies of a certificate from the Township Tax Collector indicating that all taxes and assessments are paid to date                                                                                                                                                                                                                                                                                                                                                                                |
|  | Concerning subdivisions only, existing and proposed monuments                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|  | Road right-of-way dedication and improvement, as applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|  | Sight triangle easements, as applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|  | Deed descriptions (including metes and bounds), easements, covenants, restrictions, and roadway dedications                                                                                                                                                                                                                                                                                                                                                                                                |
|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |

|  |                                                                                                                                                                                                      |
|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | A sixty-year title search dated within 6 months of the application date including copies of all deeds, easements, covenants, restrictions and other items affecting title to the property (3 copies) |
|  |                                                                                                                                                                                                      |
|  | A "Major Development Stormwater Summary Sheet" shall be submitted when a stormwater management basin is proposed on a project                                                                        |
|  |                                                                                                                                                                                                      |
|  | Compact disk and portable USB Drive containing digital copies (PDF format) of all plans, maps, reports, applications, checklists, and other documents filed with the development application         |

---

Signature and Title of person who prepared check list.

---

Date

## **MINE HILL TOWNSHIP PLANNING BOARD**

### **Notice of Publication**

#### **Notice of Hearing before Planning Board of Mine Hill Township**

Application No. \_\_\_\_\_

**Notice is hereby given** that a public hearing will be held before the Planning Board of Mine Hill Township at the Municipal Building, 10 Baker Street, Mine Hill, New Jersey on \_\_\_\_\_, 20\_\_\_\_\_ at \_\_\_\_\_ P.M. to consider the application of the undersigned for a variance (relief) together with subdivision \_\_\_\_\_, Site Plan \_\_\_\_\_. Condition Use Approval \_\_\_\_\_, to permit \_\_\_\_\_

---

(Describe variance of relief requested.)

Contrary to the requirements of Section (s) \_\_\_\_\_ of the Township's Zoning Ordinance. The Applicant is also seeking approval for any other variance, waiver or relief the Board determines necessary. The property which is the subject of this hearing is known as \_\_\_\_\_

---

(Street address)

Mine Hill, New Jersey and Block \_\_\_\_\_, Lot \_\_\_\_\_ on the Township tax map.

Any person(s) affected by this application will have an opportunity to be heard at said hearing.

All documents relating to this application may be inspected by the public between the hours of 9:00 A.M. and 4:30 P.M., Monday through Friday, in the office of the Planning Board Administrator in the Municipal Building, 10 Baker Street, Mine Hill, New Jersey.

Dated: \_\_\_\_\_

---

\_\_\_\_\_  
Applicant

***Note: This notice must be published once at least 10 days before the day of the hearing in one of the two official newspapers of the Township (West Morris Reporter or Daily Record) and proof of publication given to the Administrator of the Planning Board at least 3 days before the day of hearing.***

## **MINE HILL TOWNSHIP PLANNING BOARD**

### **Notice of Hearing**

#### **To Property Owners within 200 Feet**

Application No. \_\_\_\_\_

**Notice is hereby given** that a public hearing will be held before the Planning Board of Mine Hill Township at the Municipal Building, 10 Baker Street, Mine Hill, New Jersey on \_\_\_\_\_, 20\_\_\_\_\_ at \_\_\_\_\_ P.M. to consider the application of the undersigned for a variance (relief) together with subdivision \_\_\_\_\_, Site Plan\_\_\_\_\_. Condition Use Approval \_\_\_\_\_, to permit\_\_\_\_\_

(Describe variance of relief requested.)

---

---

---

Contrary to the requirements of Section (s) \_\_\_\_\_ of the Township's Zoning Ordinance. The Applicant is also seeking approval for any other variance, waiver or relief the Board determines necessary. The property which is the subject of this hearing is known as

(Street address)

Mine Hill, New Jersey and Block \_\_\_\_\_, Lot \_\_\_\_\_ on the Township tax map.

Any person affected by this application will have an opportunity to be heard at said hearing.

All documents relating to this application may be inspected by the public between the hours of 9:00 a.m. and 4:30 p.m. Monday through Friday in the office of the Planning Board Administrator in the Municipal Building, 10 Baker Street, Mine Hill, New Jersey.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Applicant

***Note: The notice must be personally served or sent by certified mail at least 10 days before the day of the hearing, and proof of service given to the Administrator of the Planning Board at least 2 days before the hearing.***

## **MINE HILL TOWNSHIP PLANNING BOARD AFFIDAVIT OF PROOF OF SERVICE**

Application No. \_\_\_\_\_

STATE OF NEW JERSEY

COUNTY OF \_\_\_\_\_

\_\_\_\_\_, of full age, being duly sworn according to law, deposes and says:

I am the \_\_\_\_\_  
(Applicant – Agent of Applicant)

and on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_, at least ten (10) days prior to the hearing date set for the Application of

\_\_\_\_\_ regarding property know as  
(Name of Applicant)

\_\_\_\_\_ Mine Hill, New Jersey, Block \_\_\_\_\_ Lot \_\_\_\_\_  
(Address of Property)

on the tax map of Mine Hill Township, I served a true copy of the notice of said hearing, a copy of which is attached hereto upon the following persons, who constitute all the property owners within 200 feet of the subject property, in accordance with Certified list of said property owners as provided by the Tax Assessor of the Township, a copy of said list being also attached hereto the manner indicated:

1. By Certified Mail by depositing same in the post office at \_\_\_\_\_, New Jersey addressed to the following persons at the addresses indicated:
2. By personally serving Notice upon the following:

| NAME | PLACE OF SERVICE | BLOCK | LOT |
|------|------------------|-------|-----|
|      |                  |       |     |
|      |                  |       |     |
|      |                  |       |     |
|      |                  |       |     |
|      |                  |       |     |
|      |                  |       |     |
|      |                  |       |     |
|      |                  |       |     |
|      |                  |       |     |
|      |                  |       |     |

3. The attached Notice addressed to the Municipal Clerk of \_\_\_\_\_ was served upon said Clerk by mailing by certified mail said notice to said Clerk from post office at \_\_\_\_\_, New Jersey (by personally serving said Clerk with said notice at the said Municipal building on the \_\_\_\_\_ of \_\_\_\_\_, on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.  
(Where Applicable)
4. The attached notice addressed to the Morris County Planning Board was served upon said Planning Board by mailing by certified mail said notice addressed to it at 37 Maple Ave. 4<sup>th</sup> Floor, Morristown, New Jersey 07960 from the post office at \_\_\_\_\_, New Jersey (by personally serving said notice upon) \_\_\_\_\_ (name of person served) the \_\_\_\_\_ (position of person served) Morris County Planning Board by at the said office of the Board 30 Schuyler Place, Morristown, NJ on the \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.  
(Where Applicable)
5. The attached notice addressed to the New Jersey Commissioner of Transportation was served upon the said Commissioner by mailing by certified mail said notice to said Commissioner at his office in Trenton, New Jersey from the post office at \_\_\_\_\_, New Jersey (by personally serving said notice upon said Commissioner at his office at Trenton, New Jersey), on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.  
(Where Applicable)

---

\_\_\_\_\_  
Signature of Applicant or Agent of  
Applicant who served Notice

---

\_\_\_\_\_  
Notary Public or Attorney at  
Law of New Jersey

**W-9**

Form (Rev. March 2024)

Department of the Treasury  
Internal Revenue Service**Request for Taxpayer Identification Number and Certification**Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

Give form to the requester. Do not send to the IRS.

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                         |                                                                                                                                                                                                                                                                                                                    |  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 1 Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                         |                                                                                                                                                                                                                                                                                                                    |  |
| 2 Business name/disregarded entity name, if different from above.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                         |                                                                                                                                                                                                                                                                                                                    |  |
| <p>3a Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes.</p> <p><input type="checkbox"/> Individual/sole proprietor   <input type="checkbox"/> C corporation   <input type="checkbox"/> S corporation   <input type="checkbox"/> Partnership   <input type="checkbox"/> Trust/estate<br/> <input type="checkbox"/> LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) _____<br/> <b>Note:</b> Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner.<br/> <input type="checkbox"/> Other (see instructions) _____</p> |                                         | <p>4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):</p> <p>Exempt payee code (if any) _____</p> <p>Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) _____</p> <p>(Applies to accounts maintained outside the United States.)</p> |  |
| 3b If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions _____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                         |                                                                                                                                                                                                                                                                                                                    |  |
| 5 Address (number, street, and apt. or suite no.). See instructions.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Requester's name and address (optional) |                                                                                                                                                                                                                                                                                                                    |  |
| 6 City, state, and ZIP code                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                         |                                                                                                                                                                                                                                                                                                                    |  |
| 7 List account number(s) here (optional)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                         |                                                                                                                                                                                                                                                                                                                    |  |

**Part I Taxpayer Identification Number (TIN)**

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

|                                |                      |   |                      |                      |                      |                      |                      |
|--------------------------------|----------------------|---|----------------------|----------------------|----------------------|----------------------|----------------------|
| Social security number         |                      |   |                      |                      |                      |                      |                      |
| <input type="text"/>           | <input type="text"/> | - | <input type="text"/> | <input type="text"/> | -                    | <input type="text"/> | <input type="text"/> |
| or                             |                      |   |                      |                      |                      |                      |                      |
| Employer identification number |                      |   |                      |                      |                      |                      |                      |
| <input type="text"/>           | <input type="text"/> | - | <input type="text"/> |

**Part II Certification**

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

|           |                          |      |
|-----------|--------------------------|------|
| Sign Here | Signature of U.S. person | Date |
|-----------|--------------------------|------|

**General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

**What's New**

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

**Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they

must obtain your correct taxpayer identification number (TIN), which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid).
- Form 1099-DIV (dividends, including those from stocks or mutual funds).
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds).
- Form 1099-NEC (nonemployee compensation).
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers).
- Form 1099-S (proceeds from real estate transactions).
- Form 1099-K (merchant card and third-party network transactions).
- Form 1098 (home mortgage interest), 1098-E (student loan interest), and 1098-T (tuition).
- Form 1099-C (canceled debt).
- Form 1099-A (acquisition or abandonment of secured property).

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

**Caution:** If you don't return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding*, later.

**By signing the filled-out form**, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued);
2. Certify that you are not subject to backup withholding; or
3. Claim exemption from backup withholding if you are a U.S. exempt payee; and
4. Certify to your non-foreign status for purposes of withholding under chapter 3 or 4 of the Code (if applicable); and
5. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting is correct. See *What Is FATCA Reporting*, later, for further information.

**Note:** If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding.** Payments made to foreign persons, including certain distributions, allocations of income, or transfers of sales proceeds, may be subject to withholding under chapter 3 or chapter 4 of the Code (sections 1441–1474). Under those rules, if a Form W-9 or other certification of non-foreign status has not been received, a withholding agent, transferee, or partnership (payor) generally applies presumption rules that may require the payor to withhold applicable tax from the recipient, owner, transferor, or partner (payee). See Pub. 515, Withholding of Tax on Nonresident Aliens and Foreign Entities.

The following persons must provide Form W-9 to the payor for purposes of establishing its non-foreign status.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the disregarded entity.
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the grantor trust.
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust and not the beneficiaries of the trust.

See Pub. 515 for more information on providing a Form W-9 or a certification of non-foreign status to avoid withholding.

**Foreign person.** If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person (under Regulations section 1.1441-1(b)(2)(iv) or other applicable section for chapter 3 or 4 purposes), do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515). If you are a qualified foreign pension fund under Regulations section 1.897(l)-1(d), or a partnership that is wholly owned by qualified foreign pension funds, that is treated as a non-foreign person for purposes of section 1445 withholding, do not use Form W-9. Instead, use Form W-8EXP (or other certification of non-foreign status).

**Nonresident alien who becomes a resident alien.** Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a saving clause. Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if their stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first Protocol) and is relying on this exception to claim an exemption from tax on their scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

## Backup Withholding

**What is backup withholding?** Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include, but are not limited to, interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third-party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

**Payments you receive will be subject to backup withholding if:**

1. You do not furnish your TIN to the requester;
2. You do not certify your TIN when required (see the instructions for Part II for details);
3. The IRS tells the requester that you furnished an incorrect TIN;
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only); or
5. You do not certify to the requester that you are not subject to backup withholding, as described in item 4 under "By signing the filled-out form" above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

See also *Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding*, earlier.

## What Is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all U.S. account holders that are specified U.S. persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

## Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you are no longer tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

## Penalties

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

## Specific Instructions

### Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

• **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

**Note for ITIN applicant:** Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040 you filed with your application.

• **Sole proprietor.** Enter your individual name as shown on your Form 1040 on line 1. Enter your business, trade, or "doing business as" (DBA) name on line 2.

• **Partnership, C corporation, S corporation, or LLC, other than a disregarded entity.** Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

• **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. Enter any business, trade, or DBA name on line 2.

• **Disregarded entity.** In general, a business entity that has a single owner, including an LLC, and is not a corporation, is disregarded as an entity separate from its owner (a disregarded entity). See Regulations section 301.7701-2(c)(2). A disregarded entity should check the appropriate box for the tax classification of its owner. Enter the owner's name on line 1. The name of the owner entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For

example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2. If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

### Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, enter it on line 2.

### Line 3a

Check the appropriate box on line 3a for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3a.

| IF the entity/individual on line 1 is a(n) ...                               | THEN check the box for ...                                              |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|
| • Corporation                                                                | Corporation.                                                            |
| • Individual or                                                              | Individual/sole proprietor.                                             |
| • Sole proprietorship                                                        |                                                                         |
| • LLC classified as a partnership for U.S. federal tax purposes or           | Limited liability company and enter the appropriate tax classification: |
| • LLC that has filed Form 8832 or 2553 electing to be taxed as a corporation | P = Partnership,<br>C = C corporation, or<br>S = S corporation.         |
| • Partnership                                                                | Partnership.                                                            |
| • Trust/estate                                                               | Trust/estate.                                                           |

### Line 3b

Check this box if you are a partnership (including an LLC classified as a partnership for U.S. federal tax purposes), trust, or estate that has any foreign partners, owners, or beneficiaries, and you are providing this form to a partnership, trust, or estate, in which you have an ownership interest. You must check the box on line 3b if you receive a Form W-8 (or documentary evidence) from any partner, owner, or beneficiary establishing foreign status or if you receive a Form W-9 from any partner, owner, or beneficiary that has checked the box on line 3b.

**Note:** A partnership that provides a Form W-9 and checks box 3b may be required to complete Schedules K-2 and K-3 (Form 1065). For more information, see the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

If you are required to complete line 3b but fail to do so, you may not receive the information necessary to file a correct information return with the IRS or furnish a correct payee statement to your partners or beneficiaries. See, for example, sections 6698, 6722, and 6724 for penalties that may apply.

### Line 4 Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

#### Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third-party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space on line 4.

1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2).

- 2—The United States or any of its agencies or instrumentalities.
- 3—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities.
- 5—A corporation.
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or territory.
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission.
- 8—A real estate investment trust.
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940.
- 10—A common trust fund operated by a bank under section 584(a).
- 11—A financial institution as defined under section 581.
- 12—A middleman known in the investment community as a nominee or custodian.
- 13—A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

| IF the payment is for ...                                                                | THEN the payment is exempt for ...                                                                                                                                                                            |
|------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| • Interest and dividend payments                                                         | All exempt payees except for 7.                                                                                                                                                                               |
| • Broker transactions                                                                    | Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012. |
| • Barter exchange transactions and patronage dividends                                   | Exempt payees 1 through 4.                                                                                                                                                                                    |
| • Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup> | Generally, exempt payees 1 through 5. <sup>2</sup>                                                                                                                                                            |
| • Payments made in settlement of payment card or third-party network transactions        | Exempt payees 1 through 4.                                                                                                                                                                                    |

<sup>1</sup> See Form 1099-MISC, Miscellaneous Information, and its instructions.

<sup>2</sup> However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

**Exemption from FATCA reporting code.** The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) entered on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37).

B—The United States or any of its agencies or instrumentalities.

C—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i).

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i).

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state.

G—A real estate investment trust.

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940.

I—A common trust fund as defined in section 584(a).

J—A bank as defined in section 581.

K—A broker.

L—A trust exempt from tax under section 664 or described in section 4947(a)(1).

M—A tax-exempt trust under a section 403(b) plan or section 457(g) plan.

**Note:** You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

#### Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, enter "NEW" at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

#### Line 6

Enter your city, state, and ZIP code.

### Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have, and are not eligible to get, an SSN, your TIN is your IRS ITIN. Enter it in the entry space for the Social security number. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). If the LLC is classified as a corporation or partnership, enter the entity's EIN.

**Note:** See *What Name and Number To Give the Requester*, later, for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at [www.SSA.gov](http://www.SSA.gov). You may also get this form by calling 800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at [www.irs.gov/EIN](http://www.irs.gov/EIN). Go to [www.irs.gov/Forms](http://www.irs.gov/Forms) to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to [www.irs.gov/OrderForms](http://www.irs.gov/OrderForms) to place an order and have Form W-7 and/or Form SS-4 mailed to you within 15 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and enter "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, you will generally have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note:** Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon. See also *Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding*, earlier, for when you may instead be subject to withholding under chapter 3 or 4 of the Code.

**Caution:** A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

## Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

**Signature requirements.** Complete the certification as indicated in items 1 through 5 below.

**1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.** You must give your correct TIN, but you do not have to sign the certification.

**2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983.** You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

**3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

**4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third-party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

**5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

## What Name and Number To Give the Requester

| For this type of account:                                                                                          | Give name and SSN of:                                                                                   |
|--------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| 1. Individual                                                                                                      | The individual                                                                                          |
| 2. Two or more individuals (joint account) other than an account maintained by an FFI                              | The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup> |
| 3. Two or more U.S. persons (joint account maintained by an FFI)                                                   | Each holder of the account                                                                              |
| 4. Custodial account of a minor (Uniform Gift to Minors Act)                                                       | The minor <sup>2</sup>                                                                                  |
| 5. a. The usual revocable savings trust (grantor is also trustee)                                                  | The grantor-trustee <sup>1</sup>                                                                        |
| b. So-called trust account that is not a legal or valid trust under state law                                      | The actual owner <sup>1</sup>                                                                           |
| 6. Sole proprietorship or disregarded entity owned by an individual                                                | The owner <sup>3</sup>                                                                                  |
| 7. Grantor trust filing under Optional Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A)) <sup>**</sup> | The grantor <sup>*</sup>                                                                                |

| For this type of account:                                                                                                                                                                   | Give name and EIN of:     |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|
| 8. Disregarded entity not owned by an individual                                                                                                                                            | The owner                 |
| 9. A valid trust, estate, or pension trust                                                                                                                                                  | Legal entity <sup>4</sup> |
| 10. Corporation or LLC electing corporate status on Form 8832 or Form 2553                                                                                                                  | The corporation           |
| 11. Association, club, religious, charitable, educational, or other tax-exempt organization                                                                                                 | The organization          |
| 12. Partnership or multi-member LLC                                                                                                                                                         | The partnership           |
| 13. A broker or registered nominee                                                                                                                                                          | The broker or nominee     |
| 14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments | The public entity         |
| 15. Grantor trust filing Form 1041 or under the Optional Filing Method 2, requiring Form 1099 (see Regulations section 1.671-4(b)(2)(i)(B)) <sup>**</sup>                                   | The trust                 |

<sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>3</sup> You must show your individual name on line 1, and enter your business or DBA name, if any, on line 2. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

<sup>4</sup> List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.)

**Note:** The grantor must also provide a Form W-9 to the trustee of the trust.

<sup>\*\*</sup> For more information on optional filing methods for grantor trusts, see the Instructions for Form 1041.

**Note:** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

## Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information, such as your name, SSN, or other identifying information, without your permission to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax return preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity, or a questionable credit report, contact the IRS Identity Theft Hotline at 800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 877-777-4778 or TTY/TDD 800-829-4059.

**Protect yourself from suspicious emails or phishing schemes.** Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to [phishing@irs.gov](mailto:phishing@irs.gov). You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 800-366-4484. You can forward suspicious emails to the Federal Trade Commission at [spam@uce.gov](mailto:spam@uce.gov) or report them at [www.ftc.gov/complaint](http://www.ftc.gov/complaint). You can contact the FTC at [www.ftc.gov/idtheft](http://www.ftc.gov/idtheft) or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see [www.IdentityTheft.gov](http://www.IdentityTheft.gov) and Pub. 5027.

Go to [www.irs.gov/IdentityTheft](http://www.irs.gov/IdentityTheft) to learn more about identity theft and how to reduce your risk.

## Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information.

Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and territories for use in administering their laws. The information may also be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payors must generally withhold a percentage of taxable interest, dividends, and certain other payments to a payee who does not give a TIN to the payor. Certain penalties may also apply for providing false or fraudulent information.